



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 2950.01US02

Kambe et al.

Confirmation No.: 6755

Application No.: 09/841,255

Examiner: Kaslow, C.

Filed: April 24, 2001

Group Art Unit: 1755

For: ABRASIVE PARTICLES FOR SURFACE POLISHING

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, and in addition to information disclosed in Applicants' Information Disclosure Statements filed January 4, 2002 and May 8, 2006, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The listing of a reference herein is not an admission that the reference is prior art or that the reference is material to patentability.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114.

With respect to the foreign language publications, we note that these have corresponding English language patents/applications as follows:

4

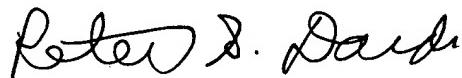
| <u>JP</u>      | <u>US/EP</u>     |
|----------------|------------------|
| 5-345611       | 0 554 908 A1     |
| 58-53988       | 4,063,907        |
|                | 4,011,064        |
| 7-41754        | 0 637 619        |
| 2-219889       | 0 373 765        |
| 3-80106        | 0 395 925        |
| (EP 0 395 925) | English Abstract |
| 9-193004       | 0 773 270        |

A machine translation is enclosed for a JP application along with an English translation of a second official action for a corresponding Japanese application, which does not relate to claims of similar scope to the presently pending claims in the present application. An English abstract is enclosed for another JP application.

While we believe that no fees are due, please credit or debit Deposit Account No. 50-3863 as needed to ensure consideration of the disclosed information.

Copies of cited U.S. patents and U.S. published applications are not included.

Respectfully submitted,



Peter S. Dardi, Ph.D.  
Registration No. 39,650

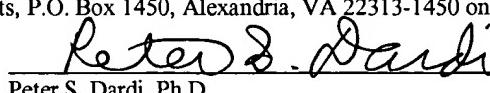
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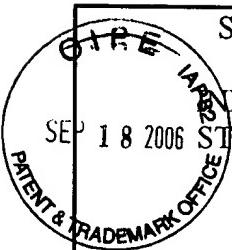
*Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 50-3863.*

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

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Substitute for form 1449/PTO

*Complete if Known*

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
*(Use as many sheets as necessary)*

SEP 18 2006

|                      |                |
|----------------------|----------------|
| Application Number   | 09/841,255     |
| Filing Date          | April 24, 2001 |
| First Named Inventor | Kambe et al.   |
| Art Unit             | 1755           |
| Examiner Name        | Koslow, C.     |

Sheet 1 of 2 Attorney Docket Number 2950.01US02

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL <sup>*</sup> | Cite No. <sup>1</sup> | Document Number                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant<br>of Cited Document |
|-------------------------------|-----------------------|---|--------------------------------|--|
|                               |                       | Number-Kind Code <sup>2</sup> ( <i>if known</i> ) |                                |  |
|                               |                       | US-4,011,064                                      | 03-08-1977                     | Lee et al.   |
|                               |                       | US-4,063,907                                      | 12-20-1977                     | Lee et al.   |
|                               |                       | US-   |                                |  |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL <sup>*</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant<br>of Cited Document | T <sup>6</sup> |
|-------------------------------|-----------------------|---|--------------------------------|--|----------------|
|                               |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup><br>( <i>if known</i> ) |                                |  |                |
|                               |                       | JP 58-53988   | 12-02-1983                     | Lee et al.   |                |
|                               |                       | JP 62-121643  | 06-02-1987                     | Yamamoto et al.                                    |                |
|                               |                       | EP 0373765  | 06-20-1990                     | Morris et al.                                      |                |
|                               |                       | JP 2-219889   | 09-03-1990                     | Morris et al.                                      |                |
|                               |                       | EP 0395925  | 11-07-1990                     | Sextl et al.                                       |                |
|                               |                       | JP 3-80106  | 04-04-1991                     | Sextl et al.                                       |                |
|                               |                       | JP 5-345611   | 12-27-1993                     | Garg   |                |
|                               |                       | EP 0637619  | 02-08-1995                     | Kobayashi et al.                                   |                |
|                               |                       | JP 7-41754  | 02-10-1995                     | Kobayashi et al.                                   |                |
|                               |                       | JP 08-003540  | 01-09-1996                     | Masakazu   | ✓              |
|                               |                       | EP 0773270  | 11-08-1996                     | Kato et al.  |                |
|                               |                       | JP 9-193004   | 07-29-1997                     | Kato et al.  |                |

EXAMINER SIGNATURE

DATE  
CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

